

Gary Berrigan

Internal Audit and SOX Compliance Professional

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 New York, NY

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Education

Rutgers University, BS Accounting
January 1996

Professional Training

White Collar Crime and Anti-Money
Laundering Training – FBI Academy,
Quantico, VA.

Function and Specialization

- | Internal Audit
- | SOX Compliance
- | IT Controls
- | COSO Framework
- | Corporate Governance
- | Risk Management
- | Project Management
- | Forensic/Fraud Investigations
- | ERP Implementation controls
- | Financial Reporting
- | Technical Accounting
- | Due Diligence
- | Policies and Procedures

Technical

- | COSO
- | ERM
- | GAAP
- | GTAG
- | CoBIT

Industries

- | Technology
- | Manufacturing
- | Government
- | Insurance
- | Retail
- | Media and Advertising

Professional Profile

I bring progressive internal audit and internal controls experience, including international experience, for start-up, mid- size and large companies, both public and private. A proven track record with significant management involvement.

Experience

Experience – 20 years Sarbanes Oxley experience, in addition to fraud investigation experience prior to the passage of the Sarbanes Oxley Act.

Global Director Internal Audit & SOX Compliance

Everbridge Corp. – Enterprise Critical Event Management Software

June 2023 – July 2024

- Led Everbridge's global SOX program, reducing key controls by 20% in the first six months.
- Coordinated Everbridge's ISO and SOC internal and external audits over its security and privacy management systems.
- Senior member of the Company's Information Security and Privacy Committee.
- Performed risk analysis and responsible for the Company's risk register.
- Everbridge went Private in June 2024.

OpenText – Cloud/Data Security

October 2020 – April 2023

- Led OpenText's global SOX program, managing 6 team members, to update and assess key controls and roll in acquired companies.
- Achieved a reduction of approximately 37% in key controls for business, ITGC and ITAC control, between FY22 and FY23. This produced approximate savings in proposed consulting costs in excess of \$500K.
- Optimized the AuditBoard tool to properly place controls in their proper cycle to ensure continuity of testers and external auditors. Achieved approximately 60% reduction of quarterly certifications to control owners.
- Prior to OpenText's acquisition of Zix Corp., assumed Zix Corp's Director Internal Audit role from a third-party consulting firm and decreased SOX key controls by 23% in the first half of the year to optimize the Company's control environment.

SeaChange International - Video Delivery Software Solutions

July 2018 – February 2020

- Reduced external consulting costs, re-documented all control narratives to update the control environment, identified new key controls over revenue for ASC606, and reduced key controls during its restructuring.
- Strengthened entity-level controls by establishing company-wide ethics, IT, and FCPA compliance training.
- Incorporating cyber security risks and controls to better respond to ransomware and other malware and phishing emails.

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Inseego Corp. - Wireless solutions, IoT, SaaS

September 2017 – July 2018

- Assumed the growing responsibilities of the Internal Audit and SOX function for the US, UK, European, and South African companies.
- Reduced costs by adjusting the number of key controls, decreasing from approximately 500 to approximately 200, overall functions and IT, both domestically and abroad.
- Remediated prior year material weaknesses and re-assessed and re-documented the Company's internal control environment, including scoping IT applications and systems in the US and abroad.

MRV Communications - Optical transport and network equipment manufacturing

April 2015 - August 2017

- Re-evaluated the control environment and identified opportunities for improvement while remediating material deficiencies. Implemented ethics and FCPA training throughout the US and Israel locations.
- Reduced consulting costs year over year by having reliance placed on our internal IT and financial controls testing.
- Identified key risk areas in the U.S. and Israel, including the new revenue recognition model.
- Key member of our outsourced contract manufacturing integration team. Participated in the risk analysis of moving our contract manufacturer from the US to Israel.

Globe Specialty Metals – Silicon metals manufacturer

September 2009 - September 2013

- Led the internal audit and Sarbanes Oxley compliance function for its \$700 million IPO and developed its risk-based audit universe for its 11 production facilities and 3 mining sites in five countries.
- Increased savings during the Company's IPO by gaining reliance on the Company's in-house IT environment, whereby prolonging its implementation of SAP.
- Year over year strengthening the Company's internal controls and embedded risk awareness into projects and business practices. Identified IT general controls for the Company's SAP implementation during 2012-2013.
- In collaboration with the Chief Legal Officer, led the investigation of whistleblower complaints and its Ethics and Foreign Corrupt Practices Act compliance training plan.

Senior Manager, Internal Controls

Geller & Company – NYC Consulting

August 2004 -April 2008

- Established and led the Emerging Business Group's Sarbanes-Oxley department. Led SOX engagements for clients in sectors that included technology, retail and bio pharmaceutical companies.
- Created a financial due diligence program and performed buy side financial due diligence for clients, including venture capital firms and other private equity companies.

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Interpublic Group of Companies – Conglomerate of global advertising firms

February 2003 - June 2004

- Coordinated Interpublic's subsidiaries Lowe and Draft Sarbanes Oxley initiative. Developed strategy and facilitated the efforts of three managers across North America, Europe, and Asia.
- Performed on-site reviews and training for foreign entity Financial Directors in our European, Asian, and Canadian offices to ensure compliance with Sarbanes Oxley documentation requirements.
- Acted as Forensic Audit Supervisor for resolving allegations of fraud. Performed fraud investigations in the US and Germany prior to the passage of the Sarbanes Oxley Act.

Senior Manager Fraud Investigations

New York Life Insurance Company

July 2000 - February 2003

- Member of the team that developed the investigative unit within NYLife's internal audit department and investigated allegations of internal fraud including misappropriation of funds, false billing schemes and identity theft.
- Conducted investigative interviews and presented testimony in Grand Jury.
- Established the public record background check Choicepoint for investigations. Implemented the service for consultants and contractors background checks. Developed procedures and conducted due diligence reviews of new clients using Choicepoint for customers investing sums greater than \$1 million in annuities.
- Performed field office audits and fraud risk assessments at Corporate and at service centers across the US.

KPMG

October 1998 - July 2000

- Investigated internal fraud for financial improprieties and contract vote rigging by union officials for New York City's public employee union DC37 that led to indictments.
- Conducted Fraud, Waste and Mismanagement Assessment at New York City, Board of Education High Schools.

Federal Bureau of Investigation

May 1994 - October 1998

- Supported Special Agents in money laundering and check kiting investigations that included organized crime, white-collar crime, public corruption, health care fraud, and other violations of federal statutes.
- Acquired financial records from financial institutions, tracing money through accounts to determine the flow of funds. Prepared affidavits and gathered evidence through subpoena. Presented findings to Special Agents and United States Attorney's Office to determine course of action.
- Testified in Grand Jury and US District Court, NJ on evidence in interstate theft and public corruption cases.

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Vertical line